



**Governance and Audit  
Committee**

**20 July 2021**

**Subject: Internal Audit Annual Report – 2020 /2021**

Report by:	Lucy Pledge (Head Audit & Risk Management – Lincolnshire County Council)
Contact Officer:	Emma Redwood, Assistant Director for People and Democratic Services
Purpose / Summary:	This report gives the Head of Internal Audit's opinion on the adequacy of the Council's, governance, risk and control environment and the delivery of the Internal Audit Plan for 2020/21.

**RECOMMENDATION(S):**

- 1. That the Committee consider the Head of Audit's Annual Report and Opinion for 2020/2021 and identifies any actions it requires.**
- 2. That the Committee take into account this Annual Report and the Head of Internal Audit's opinion when considering the Council's Annual Governance Statement 2020/2021**

## IMPLICATIONS

### **Legal:**

The Annual Internal Audit Report meets the Head of Audits annual reporting requirements set out in the Public Sector Internal Audit Standards (PSIAS) and the Accounts and Audit Regulations 2020.

### **Financial :**

No implications arising from this report

### **Staffing :**

No implications arising from this report

### **Equality and Diversity including Human Rights :**

No implications arising from this report

### **Data Protection Implications :**

No implications arising from this report

### **Climate Related Risks and Opportunities:**

No implications arising from this report

### **Section 17 Crime and Disorder Considerations:**

No implications arising from this report

### **Health Implications:**

No implications arising from this report

### **Title and Location of any Background Papers used in the preparation of this report :**

None

### **Risk Assessment :**

**Call in and Urgency:**

**Is the decision one which Rule 14.7 of the Scrutiny Procedure Rules apply?**

i.e. is the report exempt from being called in due to urgency (in consultation with C&I chairman)

Yes

No

**Key Decision:**

A matter which affects two or more wards, or has significant financial implications

Yes

No

## **Executive Summary**

1. The Annual Internal Audit Report aims to present a summary of the audit work undertaken over the past year. In particular:
  - Include an opinion on the overall adequacy of and effectiveness of the governance framework and internal control system and the extent to which the Council can rely on it;
  - Inform how the plan was discharged and of overall outcomes of the work undertaken;
  - Draw attention to any issues particularly relevant to the Annual Governance Statement.
2. Our internal audit service continues to work well with the Governance and Audit Committee and Management to help the Council maintain effective governance, risk and control processes.